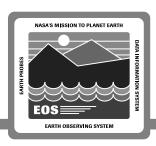


# Risk Management George Percivall

System Design Review - 29 June 1994

## **Objectives and Agenda**



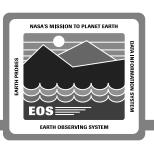
## **Objectives**

- Summarize Significant Risks
- Identify Key Decisions related to Risks
- Present integrated mitigation plan in support of decisions

### **Agenda**

- ECS Program Risk Management
- Program Risks
  - Programmatic
  - Communications Technology
  - Storage Technology
  - User Interaction
- Key Strategic Decisions
- Integrated Risk Mitigation Plan

## **ECS Program Risk Management**



#### Attention to Detail



Strategic Perspective

#### User Interaction Number and Activity of Users Interoperability of Earth Science Data Models Uncertainty of datasets available for ECS Processing and Storage for Standard Products Algorithm Integration Process Interface with ASTER ICC Toolkits (API Maturity) Product Dependencies Architecture Support Approach for GCDIS/UserDIS Resource Management with Diverse Users Safety of space assets Distributed Scheduling (FOS) User Interaction with Archived Data Technology CORBA Immaturity for Release B Earth Science Data Language Storage Management Interoperability Standards COTS Hierarchical Storage Management Cost Effective Storage Technology Performance of CommunicationInfrastructure Data Base Management Systems Scalability & Maintainability of Archives DCE Immaturity for Release A Object Management Framework Availability Evolution Evolution Category 3: Paradigm Changes Evolution Category 2: Planned improvements Multi-Track Development Process Multiple Versions in Operational Use Simultaneously Multiple Spacecraft Accommodation System Operations Scope of ECS operations in DAACs Automated Operations in Data Distribution Automated Operations for Command & Control Automated Operations for Quality Assurance Paradigm Shift in User Support Timeliness of GFE Circuits Programmatics

Program Risk List

### Risk **Estimation**



#### Selection of **Highest Risks**

#### PRIORITIZED RISK LIST

#### **Programmatic**

P-3 Compressed Development Schedule

#### **Communications Technology**

- T-9 DCE Immaturity for Release A
- CORBA Immaturity for Release B
- T-10 Object Management Framework Availiability

#### Storage Technology

- Cost Effective Storage Technology
- COTS Hierarchical Storage Management
- Scalability & Maintainability of Archive
- Data Base Management Systems

#### **User Interaction**

- Number and Activity of Users
- U-4 Processing & Storage for Standard Products

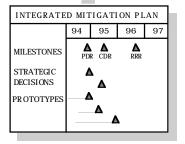
## Risk **Evaluation**



**ECS Risk Management Panel** 

#### **Key Strategic Decisions**

- 1. Functionality for Releases
- 2. DCE/CORBA Migration Plan
- 3. Media & Form Factor for Archive
- 4. Design for Pull Side Performance
- 5. Resources for AM Algorithms

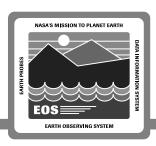


#### **Risk Identification**

Software Reuse Process System Verification Environment Compressed Development Schedule Resources for COTS integration code Release to Release Transition OO Development Methodology (SW & SE)

Iterative Focusing Process

## **ECS Prioritized Risk List**



#### **Programmatic**

P-3 Compressed Development Schedule

#### **Communications Technology**

- T-9 DCE Immaturity for Release A
- T-1 CORBA Immaturity for Release B
- T-10 Object Management Framework Availiability

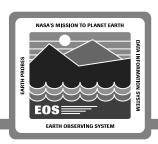
### **Storage Technology**

- T-5 Cost Effective Storage Technology
- T-4 COTS Hierarchical Storage Management
- T-8 Scalability & Maintainability of Archive
- T-7 Data Base Management Systems

#### **User Interaction**

- U-1 Number and Activity of Users
- U-4 Processing & Storage for Standard Products

# ECS Program Risks: Programmatic



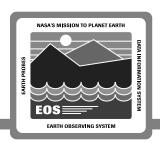
	Risk Item Summary	Major ECS Mitigation Activities
P-3	Compressed Development Schedule - Compressed development may require excessive peak manpower to achieve assigned functionality for release (See Release Management - R. Barbiere)	<ul> <li>LOC Estimates by Release</li> <li>Dynamic Management Modeling Study</li> <li>Hiring and Training Plan</li> <li>Define Build/Threads for Releases and IR-1</li> <li>Maximize Incremental Development Track Activities</li> </ul>

# ECS Program Risks: Communications Technology



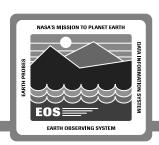
	Risk Item Summary	Major ECS Mitigation Activities
T-9	DCE Immaturity for Release A  - Performance as usage is scaled up, e.g. over ATM  - Breath of supporting products may limit implementation choices e.g. processors, applications, toolkits  (see CSMS Summary - E. Lerner)	<ul> <li>DCE Prototyping</li> <li>EP Implementation of DCE</li> <li>DCE Migration and Product Surveys</li> </ul>
T-1	CORBA Immaturity for Release B - Delay of CORBA 2.0 ORBs or object services requires partial redesign of SDPS Interoperability and/or CSMS Communications Subsystems (see CSMS Summary - E. Lerner)	<ul> <li>ORB Prototyping</li> <li>DCE Encapsulation in CORBA APIs</li> <li>EOSDIS Prototype</li> <li>Infrastructure Prototype</li> <li>ORB Product Survey</li> <li>OMG Membership (pending)</li> </ul>
T-10	Object Management Framework  - Uncertainty of DME3.0 or its replacement  - Unsuitability or delay in CORBA compatible object management framework  (see CSMS Summary - E. Lerner)	<ul> <li>DME-precursor prototyping</li> <li>Release A based on de facto Standards</li> <li>COTS System Management Study</li> <li>Join Management Integration Consortium</li> </ul>

# **ECS Program Risks: Storage Technology**



	Risk Item Summary	Major ECS Mitigation Activities
T-5	Cost Effective Storage Technology - Meeting allocated floor space, storage cost; given capacity, performance, and RMA requirements (see SDPS Hardware Implementation - Dodge/Huber)	<ul> <li>Storage Technology Insertion Plan</li> <li>Data Compression Prototype</li> <li>Network Attached Storage Prototype</li> </ul>
T-4	<ul> <li>COTS Hierarchical Storage Management</li> <li>COTS storage management systems and distributed file systems reliability and performance.</li> <li>HSMs could be limiting factor in the system performance (see SDPS Hardware Implementation - Dodge/Huber)</li> </ul>	<ul> <li>Design to eliminate HSM as Bottleneck</li> <li>Multi-FSMS Prototype</li> <li>Network Attached Storage Prototype</li> </ul>
T-8	Scalability & Maintainability of Archive - Scalability of current systems - Maintainability of large, long-lived archives - Smaller system approaches not viable for ECS (see SDPS Hardware Implementation - Dodge/Huber)	<ul> <li>Network Attached Storage Prototype</li> <li>Use Proven Robotics Technology</li> <li>Pursue Non-Contact Media Technologies (e.g. Serpentine)</li> </ul>
T-7	Data Base Management Systems - Relational DBMSs may not meet ECS functional and performance requirements for spatial, temporal, coincident search (see SDPS Software Implementation - R. Meyer)	<ul> <li>DBMS Technology Assessment Study</li> <li>Data Type Services Prototype</li> <li>Local Information Manager Prototype</li> </ul>

# **ECS Program Risks:** User Interaction

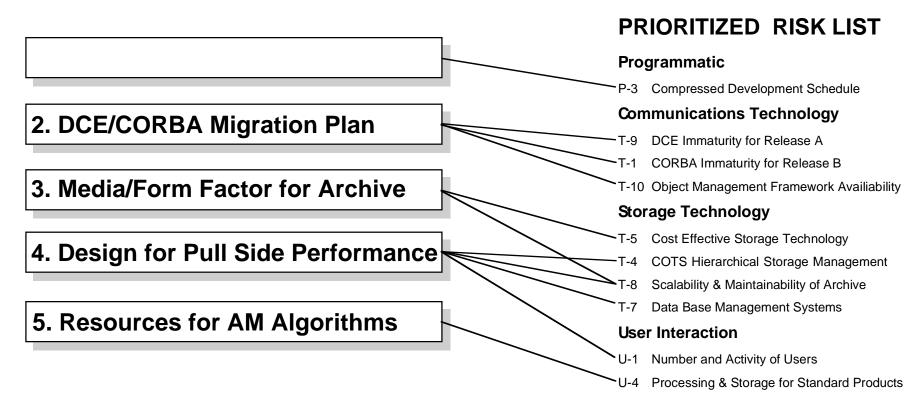


	Risk Item Summary	Major ECS Mitigation Activities
U-1	Number and Activity of Users	
	<ul> <li>Accurate prediction of usage difficult based on past experience</li> <li>System usage expected to evolve</li> <li>Inter-site traffic dependent on data set usage (see Projected System Access and Utilization - P. Thome)</li> </ul>	<ul> <li>User/Data Model</li> <li>Performance Modeling</li> <li>EOSDIS Prototype</li> <li>Processing vs. Storage Trade Study</li> <li>System Design Sensitivity Analysis</li> </ul>
U-4	Processing and Storage for Standard Products  - Growth in science algorithms may exceed available resources of processing and storage.  (see SDPS Hardware Implementation - Dodge/Huber)	<ul> <li>Performance Modeling</li> <li>Scalable System Design: 8x processing and 2x storage of IWG list</li> <li>Distributed/Parallel Computing Science Algorithm Prototypes</li> <li>Data Processing Prototype</li> <li>PGS Toolkit Development &amp; Usage</li> </ul>

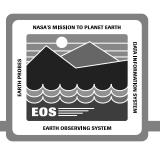


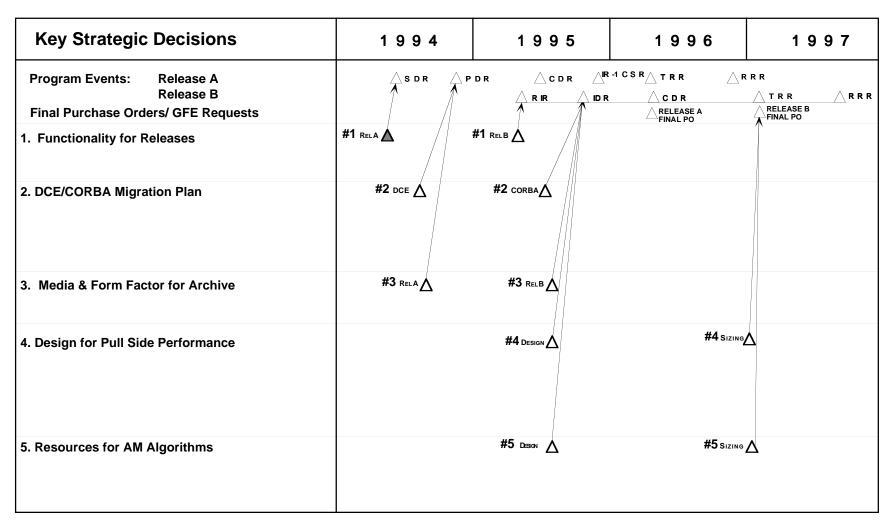


### **Key Strategic Decisions**

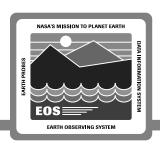


## Linking Key Decisions to Program Milestones



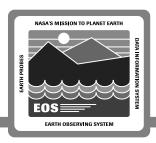


# **Integrated Risk Mitigation Plan**



Key Strategic Decisions	1994	1995	1996	1997
Program Events: Release A Release B Final Purchase Orders/ GFE Requests	△S D R △ P	DR CDR AR1C	C D R	RRR  TRR  RELEASE B  RELEASE D
1. Functionality for Releases  - Release Plan, Build/Thread Plan  - Dynamic Management Modeling System	#1 RELA C/D	#1 RELB AREL B Notebook	∠ FINAL PO	- THAC I O
2. DCE/CORBA Migration Plan - DCE Prototyping - DCE Encapsulation Prototype - ORB Prototyping - ORB Product Survey - EOSDIS Protoype	#2 DCE	#2 CORBA  2.0  OMG CORBA  OMG CORBA 2.0 COTSPRODUCTS  ECS Information Information Management]		
<ul><li>3. Media &amp; Form Factor for Archive</li><li>Storage Technology Insertion Plan</li><li>Data Compression Prototype</li></ul>	#3 RELA	#3 RELB		
4. Design for Pull Side Performance - User/Data & Performance Modeling - Processing vs. Storage Trade Study - Multi-FSMS Prototype - Network Attached Storage Prototype - DBMS Technology Assessment Study - Data Type Services Prototype	Evaluation Criteria	#4 DESIGN AREI B FCR	<b>#4</b> sizin	¢∆
5. Resources for AM Algorithms - Performance Modeling - Distributed/Parallel Computing Prototypes - Data Processing Prototype - Algorithm I&T Schedule		#5 DESIGN <u>^</u>	#5 SIZINI eta	G ▲ △ △ AM Version 2

## **Summary**



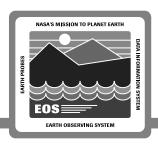
## **ECS Program Risk Management**

- Attention to detail with comprehensive Program Risk List
- Prioritized Risk List is basis for determining Strategic Decisions
- Strategic Decisions linked to Program Milestones
- Mitigation Activities support Strategic Decisions

## **Continuing Activity**

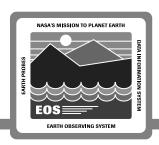
- Risk Management Panel
- On-going Risk Estimation resulting in dynamic Prioritized Risk List
- Execution of Mitigation Activities
- Resolve Key Strategic Decisions

# **Descriptions of Prototypes**



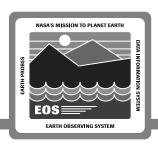
Name	Description of Prototype or Study (Organized alphabetically by first word in Name)	Related Risks	Lead Office
COTS System Management Study	Perform COTS characterizations of COTS System Management applications in order to make recommendations for implementation. Initial study complete, yearly updates to be conducted.	T-10	CSMS
Data Compression Prototype	Design trade scheduled for PDR focused on data compression. Part of the PDR trade will be to determine the impact of compression on ECS as a whole. A second part will be to determine the compression techniques most applicable to ECS data. The results of this trade will be the basis for a prototype. The prototype will test the affect of compression on the architecture using the techniques determined to be applicable to ECS data types.	T-5	SDPS
Data Processing Prototype	The overall aim is in generating a demonstration system for the processing services, and through this, providing an environment for investigation of data processing issues.  The prototype identifies and utilizes freeware and custom software that may be used to generate a rapid solution to the processing system.	U-4	SDPS
Data Type Services Prototype	The focuses of this prototype will be to evaluate DBMS technology as they apply to the data types services. A technology assessment of DMSs is on-going at this time.  Based on this assessment, potential DBMS products will be selected to prototype the data type services and the local information manager.  Benchmark spatial search of a realistically sized inventory (~10 million records)	T-7	SDPS
DBMS Technology Assessment Study	Assess the current DBMS technology (RDBMS, OODBMS, ORDBMS) and analyze how it can be used for the architectural components. A white paper -DBMS Evaluations - has been drafted presenting the requirements for the DBMS. The white paper will be updated with product evaluation results and proposals for product prototyping	T-7	SDPS
DCE Encapsulation in CORBA APIs	Prototype encapsulation of DCE-based service (of a CORBA 1.1 subset) behind CORBA 1.1 APIs. Demonstrate CORBA type interfaces and functions for release A	T-1	CSMS
DCE Migration and Product Study	Identify or develop transition strategies form vendor specific distributed computing and management technologies to the OSF DCE/DME. Initial study complete, yearly updates to be conducted. Perform COTS characterizations for DCE/DME applications in order to make recommendations for implementation. Initial study complete, yearly updates to be conducted.	T-9	CSMS

# **Descriptions of Prototypes**



Name	Description of Prototype or Study (Organized alphabetically by first word in Name)	Related Risks	Lead Office
DCE Prototyping	Prototype testing and evaluation of DCE for multi-cell operations, distributed file systems, and stress testing	T-9	CSMS
Distributed/Parallel Computing Science Algorithm Prototypes	This prototype will investigate possible portability problems or inefficiencies in science software not developed under this type of environment. The prototype will look at alternative ways of porting science software to and between multi-process or environments. Multi-processor environments include distributed cooperative computing with homogenous or heterogeneous clustered workstations, parallel programming on multiprocessor workstations, and parallel programming on massively parallel systems.	U-4	SDPS
Dynamic Management Modeling Study	Modeling of program cost and schedule dynamics based on extensive interviews with ECS program personnel and the rework cycle concept. Modeling is conducted by the Pugh - Roberts company.	P-3	SI&P
EOSDIS Prototype	This prototype involves extending the DCE based EP3 Infrastructure to several service providers. Three phases of the prototype have been identified: 1) selection of participants (3rd qtr 1994), 2) extension of infrastructure (4th qtr 1994) and 3) integration of value-added information management services (1st qtr 95).	T-1, U-1	SI&P
Infrastructure Prototype	Prototyping of interfaces between SDPS subsystems using the CSMS infrastructure	T-1	SDPS
Local Information Manager Prototype	A prototype of the LIM functionality will help to determine which of the several assumptions concerning the LIM architecture to use. The prototype will concentrate on providing the functionality of the LIM application rather than the schema maintenance or integration.	T-7	SDPS

## **Descriptions of Prototypes**



Name	Description of Prototype or Study (Organized alphabetically by first word in Name)	Related Risks	Lead Office
Multi-FSMS Prototype	This prototype focuses on the interoperability and intercommunication between heterogeneous systems. Instead of concentrating on specific storage methods and capabilities, though these factors will be discussed and recorded, the investigation will be of intercommunications capabilities available from each product/host (e.g. NFS, RPCs, AFS, FRP, RCP, etc.) Emphasis will be on developing a unified, general interface layer that will present a single communications capability to external requests. The goal is to encapsulate individual heterogeneous systems in such a way that they appear to be one large, efficient system.	T-4	SDPS
Network Attached Storage Prototype	This prototype will investigate ways to implement network attached storage. Attaching the storage to the network will reduce CPU loads associated with I/O operations between subsystems such as Ingest, Processing and Archive Services. These subsystems may benefit by being able to read and write form the same RAID device attached to the network eliminating the need to transport the data from one host to another.	T-4, T-5, T-8	SDPS
ORB Product Survey	Perform COTS characterizations of COTS ORBs in order to make recommendations for implementation. Initial study complete, yearly updates to be conducted.	T-1	CSMS
ORB Prototyping	Two phases: CORBA 1.1 compliant products (now) and CORBA 2.0 compliant products as early as possible (beta versions?). Prototype CSMS services using CORBA 1.1 ORBs (to standardize application interfaces early for SDPS, FOS	T-1	CSMS
Processing vs. Storage Trade Study	Including selection of production for On Demand processing and virtual metadata trade studies.	U-1	SDPS

Note: This table provides information on prototypes and studies referenced in the Risk Management presentation for SDR. This is not a complete list of ECS Prototypes and Studies.